# Local Government OMBUDSMAN

### The Local Government Ombudsman's Annual Letter

# South Cambridgeshire District Council

for the year ended 31 March 2008

The Local Government Ombudsman (LGO) provides a free, independent and impartial service. We consider complaints about the administrative actions of councils and some other authorities. We cannot question what a council has done simply because someone does not agree with it. If we find something has gone wrong, such as poor service, service failure, delay or bad advice, and that a person has suffered as a result, the Ombudsmen aim to get it put right by recommending a suitable remedy. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

#### Annual Letter 2007/08 - Introduction

This annual letter provides a summary of the complaints we have received about South Cambridgeshire District Council. We have included comments on the authority's performance and complaint-handling arrangements, where possible, so they can assist with your service improvement.

I hope that the letter will be a useful addition to other information your authority holds on how people experience or perceive your services.

Two attachments form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

#### Complaints received

#### Volume

We received 21 complaints against your Council during the year, slightly fewer than the 25 complaints received in 2006/2007. We expect to see these fluctuations year on year.

#### Character

Ten complaints, almost 50% of all those we received against your Council, were about planning and building control, but that is a familiar proportion in rural districts.

Small reductions were noted in complaints about benefits and housing.

We received the same number of complaints as in previous years in the areas of public finance and transport and highways.

Two complaints were made about waste management and one about environmental health.

#### **Decisions on complaints**

#### Reports and local settlements

When we complete an investigation we issue a report. I issued no reports against your Council this year.

A 'local settlement' is a complaint where, during the course of our investigation, the Council has agreed to take some action which we consider is a satisfactory response to the complaint. The investigation is then discontinued. In 2007/08 the Local Government Ombudsmen determined some 27% of complaints by local settlement (excluding 'premature' complaints - where councils have not had a proper chance to deal with them - and those outside our jurisdiction).

One complaint was settled locally this year. It concerned a breach of planning permission. Although I was satisfied that the Council had investigated the breach I criticised it for failing to keep the complainant informed of the actions it was taking. The Council agreed to apologise and pay the complainant £50 compensation.

#### Other findings

Seven complaints were treated as premature and referred back to your Council so that they could first be considered through your Council's complaints procedure.

The remaining 11 complaints were not pursued because no evidence of maladministration was seen or because it was decided for other reasons not to pursue them, mainly because no significant injustice flowed from the fault alleged.

#### Your Council's complaints procedure and handling of complaints

The proportion of premature complaints has risen in the last year to some 36%, higher than the national average of 27%. I note that the Council revised its complaints procedure in April 2007 to ensure that complaints are monitored. It appears that the procedure is working well and I am pleased to see that it is readily accessible on its website, though the Council could consider whether it can take any other steps to publicise it in order to reduce the number of premature complaints I have received.

One of the seven premature complaints was resubmitted to me. That case is still under consideration.

#### Liaison with the Local Government Ombudsman

Enquiries were made on 11 complaints during the year. Your Council's average response time was 36.9 days. That is a significant improvement on the average of 66.5 days taken to respond to first enquiries in 2006/2007. I commend the Council for its improvement here, but the target I set is for Councils to reply within 28 days and so I hope to see a further improvement next year.

#### **Training in complaint handling**

Part of our role is to provide advice and guidance about good administrative practice. We offer training courses for all levels of local authority staff in complaints handling and investigation. This year we carried out a detailed evaluation of the training with councils that have been trained over the past three years. The results are very positive.

The range of courses is expanding in response to demand. In addition to the generic Good Complaint Handling (identifying and processing complaints) and Effective Complaint Handling (investigation and resolution) we now offer these courses specifically for social services staff and a course on reviewing complaints for social care review panel members. We can run open courses for groups of staff from different smaller authorities and also customise courses to meet your Council's specific requirements.

All courses are presented by an experienced investigator so participants benefit from their knowledge and expertise of complaint handling.

I have enclosed some information on the full range of courses available together with contact details for enquiries and any further bookings.

#### LGO developments

We launched the LGO Advice Team in April, providing a first contact service for all enquirers and new complainants. Demand for the service has been high. Our team of advisers, trained to provide comprehensive information and advice, have dealt with many thousands of calls since the service started.

The team handles complaints submitted by telephone, email or text, as well as in writing. This new power to accept complaints other than in writing was one of the provisions of the Local Government and Public Involvement in Health Act, which also came into force in April. Our experience of implementing other provisions in the Act, such as complaints about service failure and apparent maladministration, is being kept under review and will be subject to further discussion. Any feedback from your Council would be welcome.

Last year we published two special reports providing advice and guidance on 'applications for prior

approval of telecommunications masts' and 'citizen redress in local partnerships'. I would appreciate your feedback on these, particularly on any complaints protocols put in place as part of the overall governance arrangements for partnerships your Council has set up.

#### **Conclusions and general observations**

I welcome this opportunity to give you my reflections about the complaints my office has dealt with over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

J R White Local Government Ombudsman The Oaks No2 Westwood Way Westwood Business Park Coventry CV4 8JB

June 2008

Enc: Statistical data

Note on interpretation of statistics

Leaflet on training courses (with posted copy only)

Complaints received by subject area	Benefits	Housing	Other	Planning & building control	Public finance	Transport and highways	Total
01/04/2007 -	2	4	3	10	1	1	21
31/03/2008 2006 / 2007	3	6	3	11	1	1	25
2005 / 2006	1	1	5	12	0	0	19

**Note:** these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

Decisions	MI reps	LS	M reps	NM reps	No mal	Omb disc	Outside jurisdiction	Premature complaints	Total excl premature	Total
01/04/2007 - 31/03/2008	0	1	0	0	7	4	0	7	12	19
2006 / 2007	1	3	0	0	7	4	3	8	18	26
2005 / 2006	0	4	0	0	10	4	3	3	21	24

See attached notes for an explanation of the headings in this table.

	FIRST ENQUIRIES				
Response times	No. of First Enquiries	Avg no. of days to respond			
01/04/2007 - 31/03/2008	11	36.9			
2006 / 2007	11	66.5			
2005 / 2006	10	43.7			

### Average local authority response times 01/04/2007 to 31/03/2008

Types of authority	<= 28 days	29 - 35 days	> = 36 days
	%	%	%
District Councils	56.4	24.6	19.1
Unitary Authorities	41.3	50.0	8.7
Metropolitan Authorities	58.3	30.6	11.1
County Councils	47.1	38.2	14.7
London Boroughs	45.5	27.3	27.3
National Park Authorities	71.4	28.6	0.0

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